## ANNEX L: Stakeholder Analysis and Engagement Plan

### 1. Introduction

This report makes a contribution to the design of the UNDP/GEF entitled "Improving Environmental Management in the Mining Sector of Suriname, with Emphasis on Gold Mining". The purpose of this report is to provide an analysis of different types of stakeholders and to map a plan for targeted engagement during preparation and during implementation.

The objectives of this stakeholder engagement plan are to:

- Identify stakeholder priorities to better tailor project activities, opportunities, and benefits;
- Learn from and incorporate local knowledge to improve project design in order to avoid and mitigate project-related risks and impacts;
- Ensure that stakeholders are engaged in the monitoring and reporting of the project;
- Establish a mechanism (FPIC and grievance) through which indigenous, tribal, and other vulnerable groups can raise issues they may face in the implementation of the project

**Methodology.** For the stakeholder analysis and assessment, documents were reviewed and interviews with a wide range of respondents were conducted. In-field consultations focused on the area north of the Brokopondo Reservoir, in the villages Nieuw Koffiekamp and Compagniekreek, which were selected as project sites.

## 2. National Policies and Requirements Guiding Stakeholder Engagement

In Suriname, there is no legal framework for stakeholder engagement in mining projects, including in the Mining Decree of 1986. Subsequent attempts at revising the law (i.e. in 2002 and more recently in 2016) exhibited from a number of significant shortcomings with regards to public engagement:

- The law excluded indigenous and Maroon groups from stakeholder meetings;
- Access to judicial remedies available to all other Surinamese was denied to indigenous and Maroon groups;
- Legal development processes failed to guarantee stakeholders rights to participate and consent or withhold consent in decision making;
- There were no meaningful procedural and substantive guarantees for indigenous and tribal peoples' rights in relation to mining activities;
- There was evidence of active discrimination against indigenous and tribal peoples' property rights, by failing to identify, delimit, and demarcate traditional lands and territories.

While some national policy documents call for the active involvement of citizens in the formulation and implementation of development policy and plans, in practice this often does not happen. However, in the ASM sector, governmental institutions have introduced rules for mining project bearers to involve traditional leaders and communities in the application procedure for gold mining concessions in their territory and to obtain "letters of no objection". However, there is no enforcement of these rules, therefore applicants can simply ignore this process entirely without affecting the government's decision on whether to award the concession. Furthermore, even if local communities are involved in the application, their input and perspective often has little or no influence.

## 3. Strategic Considerations

The following factors were determined to be important for consideration when implementing this project:

- a. The various ethnic groups (mainly Brazilians and Maroons) involved in the ASM sector may have different interests, cultural norms and values, and native languages, and therefore different approaches should be used to inform, consult, and involve them in project activities.
- b. There is a climate of distrust in the ASGM sector and between communities and the government, therefore cooperation is limited and information flows are also more difficult. There are risks of corruption and the climate of poverty and destitution in the interior further exacerbates the lawlessness and insecurity of local stakeholders.

### 4. Stakeholders and means for engagement

The project development team has identified and consulted a broad range of stakeholders, as seen in the table below, entitled, "Stakeholder Engagement Plan", and in Annex 6 (report of project development activities).

#### **Government institutions**

In Suriname there are two levels of government. The national government is responsible for the governance, formulation, and implementation of national policy. Local government operates through district and resort councils (Commissariats). Local government also house a Citizens' Information Centre, where locals can get information about initiatives and activities coordinated by the Commissariat. Once a year, participatory public hearings are held at the resort and district levels to gain input from residents on the formulation of the district's policy plan, which are then sent to the Ministry of Regional Development for funding.

The main mechanisms for engagement with national and district-level governments will be through their involvement, either as responsible parties or as executing partners, in project activities. Regular communication will be ensured through the project management unit, including through email, meetings and workshops, and in person. In some areas, local radio stations broadcast in the local traditional languages, which can be a very effective method to share information with communities. UNDP will also ensure high-level contacts are maintained with key government stakeholders.

### **Local/Community governments**

Each village is led by an appointed traditional authority, consisting of a village leader (*kabiten*) and assistants (*basiya's*). The traditional authority represents the village to the regional government and is responsible for the daily management of their village.

Engagement of local authorities will make use of existing self-governance mechanisms, such as Kuutu's in *traditional villages*. A *kuutu* is a meeting in which villagers, *Lo*'s<sup>1</sup>, and/or the whole tribal groups participate, depending on the subject. Working through the Project management unit and with the support of the Indigenous and Tribal Peoples expert and other consultants, the project will use these meetings to share information with, discuss outcomes with, and gain input from local communities.

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<sup>&</sup>lt;sup>1</sup> Matriclan of the Maroon tribes.

In addition to the *kuutu's*, almost all the villages have women's organizations and/or community-based organizations working on women's issues,<sup>2</sup> which will be mobilized and assisted to participate throughout the implementation of project activities. Youth groups will also be targeted for special communication, technical training and other assistance.

#### Private sector/LSM

The Canadian gold mining multinational IAMGOLD, the only large-scale mining company in the target area, is facing the serious problem of illegal mining in its concession. Therefore, it is necessary to involve IAMGOLD in joint efforts to stop illegal mining in its concession. In addition, the company can play an important role in exploring alternative livelihood strategies, for example, by providing technical assistance, facilitating (gender-sensitive) trainings, purchasing agricultural products or livestock from local communities, and providing more jobs for locals within their operations. Mechanisms for engagement with LSM private sector companies will include their participation in project structures such as the Local Advisory Committees of the MTEC, as well as regular meetings and workshops around demand-oriented activities.

Private equipment owners and small-scale miners are the main target groups for this project, and their engagement in the project is key to successful implementation. The project will designate specific mechanisms to engage and mobilize miners, including through ensuring that local languages are used, and that levels of education are taken into consideration when disseminating information or delivering training.

#### **NGOs and CBOs**

NGOs include stakeholder networks and interest groups, who represent the interests of their members (private sector), which are primarily focused on earning a sufficient, steady income from gold mining. Other groups include community-based organization and women's groups, environmental NGOs and other non-profit organizations.

An overview of organizations in Suriname, created by the Suriname NGO Strengthening Program (SNIS) in 2009, registered almost 20 local organizations in Brokopondo (see Table 2), focused primarily on community development. But investigation revealed that most of these organizations are not functioning anymore or operating in a scaled-back way, due to a lack of financial resources.<sup>3</sup> The Active NGOs and CBOs in Brokopondo District include:

- (1) Foundation for Community Development and Self-employment (*Stichting voor Gemeenschapsontwikkeling en verzelfstandiging*, VGOV) provides trainings and coordinates community projects.
- (2) Foundation Marronage (*Stichting Marronage*). This organization's focus is primarily early child development and tourism, and it has created seventeen groups =to involve parents, especially mothers, in the project. It's also working on tourism projets.
- (3) Association of Dignitaries (traditional leaders) from Brownsweg (*Vereniging van Dignitarissen van Brownsweg*). This is a group of traditional leaders of the villages Wakibasoe 1, Wakibasoe 2, Wakibasoe 3, Birihudumatu, Kadjoe, Nieuw Gaanze, and Makambicurrently focusing on the rehabilitation of abandoned mines. When miners move onto a new area, they often leave behind a scarred landscape with deep pits filled with stagnant and polluted water. Because these areas are often close to residential areas, they are a threat to the public health and security.

<sup>2</sup> a legacy from the late 90's early 2000 when the country was in a financial crisis and several donors have set up these organizations to carry out community project. Today, he majority is not functioning because of a lack of main financial resources <sup>3</sup> Interview with G.Maabo, Chairman of the Regional Organization Heepi Un Seefi, 3 June 2017.

These organizations have all coordinated several projects in the district. Their experiences can be used to help develop projects and coordinate public education programs in the pilot areas. NGOs and CBOs will be invited to participate in meetings of the LAC, and some partnerships will be devised for the delivery of specific activities, including the incentives schemes and the alternative livelihoods pilots.

# Stakeholder Engagement Plan

Partners and Participants	Mandate , Interests and responsibility related to this project	Partici prepa	ipation in project ration	Role during project implementation	Time line
National governmental institut	ions				
Ministry of Natural Resources (Natuurlijke Hulpbronnen, NH) in particular the Geology and Mining Department (Geologische Mijnbouwkundige Dienst, GMD)	Develop, implement and conatural resources and minipolicies and regulations; Iss mining concessions and lice for Large- and small-scale resources.	ng sue enses	Yes, provided input on laws, regulations, policies and standards as well as on capacity needs	Co-Implementing Partner / Senior beneficiary. Member of the project board / member of the Local Advisory Committee. Responsible and accountable for managing this project, including the monitoring and evaluation of project interventions, achieving project outcomes, and for the effective use of project resources; Lead the Technical Advisory Committee; Delegate resources and executive mandate to designated Responsible Parties for an effective delivery of project outputs. Engage in Outcomes 1-2-3-4.	Project duration
National Institute for Environment and Development in Suriname (Nationaal Instituut voor Milieu en Ontwikkeling in Suriname) (NIMOS)	Formulate and enforce nat environmental legislation a regulations; coordinate and monitor compliance;	ınd	Yes. Coordinated the PPG, and provided input through EPIO and various divisions	Co-implementing partner. Member of the project board / member of the Local Advisory Committee (LAC). Provide ongoing advice on environment and development matters (Output 3.1 & 3.2); conduct environmental monitoring activities through the Environmental planning and Information Office. The EPIO will participate in the participatory monitoring of environmental conditions under outcome 4; conduct a biodiversity census in Brokopondo North of Lake under output 1.3; participate in the updating of	Project duration

			the Gonini portal related to mining areas mapping, and forest and forest carbon mapping with SBB (output 1.3)	
Environmental Office within the Cabinet of the President	Lead national coordination for environmental issues in Suriname	Yes, through bilateral discussions and participation in the project preparation workshops also member of the PB	Will support the project by creating the conditions: policymaking, law making, ratification of international environmental treaties e.g. Minamata. Make contributions to the development and enforcement of laws and regulations under Outcome 1, as well as the development of financing mechanisms	Project Duration
Inter-ministerial Advisory Commission (IMAC)	Comprised of permanent secretaries of 17 Ministries, IMAC is a body in which reporting and joint decision-making on environmental projects takes place.	Through its individual members	The project will work with the Inter Ministerial Advisory Committee (IMAC) to assist in defining a better mechanism for systematic coordination on environmental and mining issues, which will enable key participating ministries to make mining — related decisions that duly consider environmental concerns, and prevent the adoption of counter-productive policies. IMAC will also benefit from high-level training on environmental impacts of ASGM (output 1.1.), and will participate in the TSA exercises under output 3.3	Project duration

Commission for Regulation of	Regulate the mining sector by	Yes. Participated in	Participate in the Local Advisory	Project
the Gold Sector (Commissie	registering small scale miners and	project workshops	Committee for the MTECs and in	duration
Ordening Goudsector, OGS)	ASM operations, re-establish		monitoring of the implementation of ERM	
	government authority and		practices by registered miners. Register	
	controlling ASM-sites		miners for the project; the OGS will also	
			play a key role under output 1.1 and 1.2,	
			which is concerned with strengthening	
			institutional, legal and regulatory capacity	
			as well as enforcement capacity. Tools such	
			as the land mapping (output 2.1) the TSA	
			(output 3.3), will feed in to their ongoing	
			enforcement work. OGS will also be called	
			upon to assist in the design of financing	
			instruments for long-term rehabilitation, as	
			well as to participate in discussions with	
			gold buyers under Output 3.4 designed to	
			increase the demand for ER gold.	
REDD+ management Unit	Support government in	Shared the contact	Will contribute to the assessment of forest	Year 2 and
	preserving national forest cover.	information of	carbon stocks under Output 1.3, working	3
	The country may be eligible to	potential	with EPIO and SBB	
	financial compensation the	respondents in		
	amount of expected	Brokopondo		
	deforestation decrease	District		
Ministry of Health	Regulate and manage the health	Yes, participated	The Ministry and its different programs	Project
	sector. Protect and prevent	during several	may participate in providing training and	duration
	population health, promote	workshops and one	information session to the local population	
	healthy choices.	on one sessions	on health matter within the MTECs	
			framework	
Ministry of Health - Malaria	Inform, communication, raise	Yes, participated	The Ministry and its different programs	Project
Program	awareness on Malaria matter	during several	may participate in providing training and	duration
		workshops and one	information session to the local population	
		on one sessions	on Malaria matter within the MTECs	

			framework	
Ministry of Health - Malaria Program community of Antonio do Brinco	Inform, communication, raise awareness on Malaria matter	Yes, participated during several workshops and one on one sessions	The Ministry and its different programs may participate in providing training and information session to the local population on Malaria matter within the MTECs framework	Project duration
Ministry of Regional Development	Support in alternative livelihood strategies, monitoring and evaluation	Yes, participated during several workshops and one on one sessions	The Ministry may include the pilot villages in their agriculture policy	Project duration
Medical Mission for Primary Health Care Suriname	Provide optimal primary quality healthcare services in the traditional villages and ASM-sites near the villages	Yes, formal partnership established through co-financing letter	Provide medical services at MTECs as part of co-financing partnership to establish system of incentives for miners and their families	Project duration
Ministry of Education	Regulate the educational sector.	Yes	Will consider providing educational support to miners through MTECs	Project Duration
Ministry of Finance Tax Department (Belastingdienst)	Responsible for the implementation of Tax laws; Collect, audit; provide loans that are intended to include social care, education, healthcare, infrastructure and maintenance, costs of the judiciary, prosecutors and police, defense, salaries of civil servants	Yes, through workshops	Will participate in activities under Outcome 1 to develop financial instruments and fiscal mechanisms for long-term sustainability of the project	Project duration

Central Bank of Suriname	Promote stability in the value of	Yes, through	Support the government in establishing	Project
(Centrale Bank van Suriname, CBvS)	the Surinamese currency; promote the development of a sound banking and credit sector; supervise the banking and credit sector, currency exchange operations, and transfers of financial resources from and to other countries, all on the basis of the applicable provisions of law; supervision is also aimed at ensuring the integrity of the institutions active in these sectors and sub-sectors; promote the balanced socioeconomic development of Suriname	workshops	financial mechanisms, rules and policies, and participate in efforts to register/certify ER gold	Duration
Police Corps Suriname (KPS) - Central	Law enforcement	Yes, through local police, during field missions	Will be responsible to ensure the rules and regulations that have to be implemented, are respected	Project duration
Local Governments				
Local Government of Brokopondo and Sipaliwini	Represent national government in the District;	Yes, during field missions	Participate in the Local Advisory Committee and ensure local coordination of project activities.	Project duration
District Commissioner (Districtscommissaris- DC) and staff (Environment and Health officers)	Responsible for the governing, formulating and implementing of all policies at a local scale	Yes, during field missions	Identify and advise on alternative livelihood strategies; will participate in Stakeholder Platform and will participate in the registration of miners.	Project duration
Non-governmental and inter-g	overnmental partners			

Suriname Environmental and Mining Foundation (SEMiF)	Implement awareness-raising and education activities regarding the socio-economic and environment matters.	Yes, formal partnership established through co-financing letter	Financing of MINAS	
Foundation for Forest Management and Control (Stichting Bosbeheer en Bostoezicht - SBB)	Reduce illegal logging through supporting the supply of legal forest products	Yes, participated during several workshops and one on one sessions	Provide technical assistance to local government to produce land-use maps, participate under output 1.3 in the assessment of forest carbon and in the enforcement of deforestation	Project duration
Artisanal Gold Council (AGC)	Formalized, environmentally sound, and socially responsible Artisanal Gold Mining Sector that supplies Responsible Artisanal Gold™ to markets, attracts socially responsible investors and buyers, and encourages ethical consumer behaviours.	Yes, consultations throughout project design	May be responsible for the management, including operational and financial functioning, of the MTECs (pending confirmation of responsible parties).	Project duration
Alliance for Responsible Mining (ARM)	Set standards for responsible artisanal and small-scale mining and to support and producer to access to economically fair supply chains to the markets, in order to contribute to the transformation of the sector into a socially and environmentally responsible activity, and to the improvement of the quality of life of marginalized artisanal miners, their families and communities.	Yes, consultations throughout project design	May be responsible for the management, including operational and financial functioning, of the MTECs (pending confirmation of responsible parties).	Project duration

Amazon Conservation Team (ACT) Suriname	- Protect the Amazon rainforest with the involvement of indigenous communities located in the area; - Integrates, protects and shares traditional knowledge and skills among communities Built a Land Management Program to address and identify existing threats in the region.	Consultation during field mission of consultants	Knowledge exchange of ACT's community biodiversity monitoring program	Project duration
World Wildlife Fund (WWF)	Implementing partner	Yes, participated during several workshops and one on one sessions	Co-financing partner and together with ARM responsible for the management, including operational and financial functioning, of the MTECs.	Project duration
Intergovernmental Forum on Mining	focus on improving resource governance and decision making by governments working; provide a number of services to members including: in-country assessments; capacity building and individualized technical assistance; guidance documents and conference which explore best practices and provide an opportunity to engage with industry and civil society	Yes, participated during workshops and one on one sessions	This consortium is able to provide to the project technical assistance to the national authorities and a platform to share information what is driven by the international community when it concerns mining, minerals, metals and sustainable development.	Project duration
Sustainable Development Solutions Network	Build the capacity of national and local authorities, local organizations and communities to achieve the SDGs in this region	Yes, through workshops	Participate and support the project through knowledge sharing and Outcome 4.	Project Duration
CIRDI	NGO dedicated to the capacity building of countries in the mining and extractive industries.	Yes, through phone calls	Will participate in the development and delivery of training; may participate as a responsible party or sub-contractor in the	Project Duration

			delivery of training to government staff and/or miners	
Suriname Conservation Foundation	Biodiversity monitoring and conservation, NTFP (medicinal plants harvesting) promotion, capacity building	Yes, participated during workshops and individual session	Co-financing partner	Project duration
Community leadership	, ,	-		1
Traditional authorities of Nieuw Koffiekamp and Compagniekreek and their communities	Local governance		Provide support to put a stop to the uncontrolled access of areas for gold mining near the villages. Engage in all activities under Outcome 3; Cooperate in implementing environment responsible mining techniques	Project duration
Village Council and villagers of Nieuw Koffiekamp	Local governance structure	Yes, individual session as well as participation through workshops	Member of LAC; Provide support to put a stop to the uncontrolled access of areas for gold mining near the villages. Engage in all activities under Outcome 3; Cooperate in implementing environment responsible mining techniques	Project duration
Village Council and villagers of Compagniekreek	Local governance structure		Member of LAC; Provide support to put a stop to the uncontrolled access of areas for gold mining near the villages. Engage in all activities under Outcome 3; Cooperate in implementing environment responsible mining techniques	Project duration
Community Committee (CC) of Nieuw Koffiekamp	Local governance structure; acts as intermediary between Nieuw Koffiekamp and IAMGOLD for community related issues		Provide support to put a stop to the uncontrolled access of areas for gold mining near the villages. Engage in all activities under Outcome 3; Cooperate in implementing environment responsible mining techniques	
Association of chiefs of	Local Governance, association of	Consulted by	Support the use of environmentally	Project

Brownsweg	Chiefs	phone	responsible techniques and limited or/eliminate further damage of the biodiversity	Duration
Private sector		•		
Rosebel Gold Mines N.V. (RGM), daughter company of IAMGOLD, multinational	Increase and secure income	Yes, participated during workshops and individual session	Support by giving permission to introduce environmentally responsible mining techniques in their concessions to illegal miners; Support for alternative livelihoods strategies for the local mining and traditional communities.	Project duration
Grassalco	Increase and secure income	Yes, participated during workshops and individual session	Co-financing partner and contribution to the certification scheme of gold through their planned gold purchase and fire assaying office	Project duration
Newmont	Increase and secure income	Yes, participated during workshops and individual sessions	Co-financing partner	Project duration
Stichting Houders Mijnbouw Rechten (Foundation for Mining Rights Holders -SHMR)	Formal legal organization	Yes, participated during several workshops and member of PB	Provide support during awareness raising activities for mining right holders	Project duration
Stichting Platform Binnenlandse Ondernemers (Platform for entrepreneurs from the interior, focus on gold).	Platform for entrepreneurs mostly from eastern Suriname.	Yes, participated during several workshops and observer of PB	Research and source for awareness raising and knowledge sharing	Project duration
Organization of Small Scale Gold miners such as Makamboa Lingisi Mining NV	Guarantee steady access to mining areas within the concession where gold presence is assured; adhere to the agreement	Yes, participated during several workshops and member of PB	Participate in training regarding environment friendly mining techniques; Support the use of environmentally responsible techniques and limited or/eliminate further damage of the	Project duration

			biodiversity	
CBOs and Women's groups				1
Wan Mama Pikin (NGO)	Improve the prosperity and well- being of poor and vulnerable groups in Suriname's interior through the development of sustainable development activities.	Yes, through in person meetings	Ensure the representation of women and communities and participate in activities under outcome 3	Project Duration
Women's group Nieuw Koffiekamp	Community Development for especially women in Nieuw Koffiekamp including support to income generating projects	Yes, through in person meetings	Ensure the representation of women and communities and participate in activities under outcome 3	Project Duration
Heepi un Seefi, Community Based Organization	Organize local development activities	Yes, through in person and phone meetings		Project Duration
Women' organization "Sport doet leven"	Promote women's health and well being	Yes, through in person and phone meetings	Ensure the representation of women and communities and participate in activities under outcome 3	Project Duration
Agriculture Cooperation Wi Oema Fu Sranang	Agricultural cooperative, group of farmers	Yes, through in person and phone meetings	Provide information with regards to "indigenous" agriculture methods that could be added as part of outcome 3.	Project Duration
Foundation Marronage	Focusing on educational projects, such as the Early Child Development Program at schools in Brokopondo	Yes, through in person and phone meetings, during field missions	Participate in the establishment of additional services to be provided in the MTECs such as training for the community - literacy, alternative livelihood	Project Duration
Women Organization Nieuw Koffiekamp Oema Fu Du Sani	Promote women place in the mining community.	Yes, through in person and phone meetings		

ADEK University,	Academic research and teaching on mining and environmental issues; interested in setting up a ASM specialization within the department	Yes, participated during several workshops and individual sessions, member SP	Will benefit from project support trough the development of training materials and curriculum; will help provide interns and staff for the management of MTECs; will monitor project activities related to the training of miners for potential replication.	Project duration
University of Tulane	Academic research on mercury related issues, health impacts and training of community health workers	Consulted during project design	Co-financing partner for Mercury related research, awareness raising, etc.	Project duration
University of Applied Sciences and Technology (UNASAT), Mining School	Delivers formal training and academic research to students in geology and mining sectors	Yes, attended workshops and individual session	Will benefit from project support trough the development of training materials and curriculum; will help provide interns and staff for the management of MTECs; will monitor project activities related to the training of miners for potential replication.	Project duration
Bureau Gender Affairs	To mainstream gender throughout government programs, to act as focal point for CEDAW and to promote programs designed to improve the lives of women	Yes, through one on one sessions and discussions on the role of women in the ASGM sector	Will benefit from training under Outcome 1, and will play a key role in overseeing the integration of gender in project activities through the Stakeholder Platform	Project Duration
Women Rights Centre	N-A	Yes, through one on one sessions and discussions on the role of women in the ASGM sector	Will monitor project activities for potential implications as regards women's rights, in relation to the services provided at MTEC	Project Duration
Institute for Women and Gender, and Development Studies	Provide gender training and implement gender mainstreaming	Yes, through one on one sessions and discussions on the role of women in the ASGM sector	Participate in providing training, research and gender mainstreaming under outcomes 1 and 2, and monitor project activities for potential implications as regards women's implication	Project duration